



# UNITED STATES PATENT AND TRADEMARK OFFICE

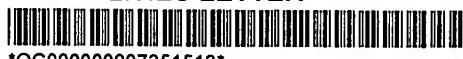
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,485	APR 30/2001	Bernard R. Ador	225P10US01



Michael L. Mau  
Mau & Krull, P.A.  
Suite E  
1250 Moore Lake Drive East  
Fridley, MN 55432

**CONFIRMATION NO. 3531**  
**FORMALITIES LETTER**



\*OC00000007351512\*



Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

J. Haiku  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 BNNGUYEN1 00000072 500549 10023485

01 FC:101	740.00 CH
02 FC:105	130.00 CH



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bernard R. Ador

Serial No.: 10/023,485

Group Art Unit: 3634

Filed: October 30, 2001

Docket No.: 225P10US01

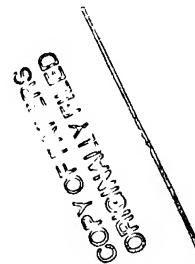
Title: PERSONAL SAFETY DEVICE FOR A VERTICAL ROPE

COMMUNICATION

BOX: MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:



In response to the "Notice to File Missing Parts of Application" (see enclosed copy), an executed Combined Declaration and Power of Attorney by the named inventor is enclosed. Also enclosed is a Fee Transmittal authorizing payment in the amount of \$870.00 for both the basic filing fee and the surcharge fee due for a large entity to be charged to Deposit Account 500549.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the below-named attorney at (763) 574-2456.

Respectfully submitted,

BERNARD R. ADOR

Date: 04/12/02

By: Robin A. Sannes

Robin A. Sannes  
Reg. No. 45,070  
MAU & KRULL, P.A.  
1250 Moore Lake Drive East  
Suite E  
Fridley, MN 55432  
Telephone (763) 574-2456

RAS:das

#mp

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bernard R. Ador

Serial No.: 10/023,485

Group Art Unit: 3634

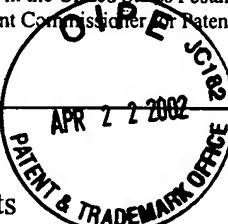
Filed: October 30, 2001

Docket: 225P10US01

Title: PERSONAL SAFETY DEVICE FOR A VERTICAL ROPE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Box: MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on April 12, 2002.

By: Robin A. Sannes  
Robin A. Sannes



Box: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

Transmittal Sheet containing Certificate of Mailing (in duplicate)  
Fee Transmittal for FY 2002 (in duplicate)  
Petition for Extension of Time for One Month  
Communication  
Copy of Notice to File Missing Parts of Nonprovisional Application  
Signed Combined Declaration and Power of Attorney  
Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 500549. A duplicate of this sheet is enclosed.

By: Robin A. Sannes  
Robin A. Sannes  
Reg. No.: 45,070  
MAU & KRULL, P.A.  
1250 Moore Lake Drive East  
Suite E  
Fridley, MN 55432  
Telephone (763) 574-2456

RAS:das

# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

O P E R A T I O N S  
P A T E N T & T R A D E M A R K O F F I C E  
APR 22 2002

S E R V I C E

## Complete if Known

Application Number	10/023,485
Filing Date	October 30, 2001
First Named Inventor	Bernard R Ador
Examiner Name	
Group Art Unit	3634
Attorney Docket No.	225P10US01

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
500549Deposit Account Name  
Mau & Krull, P.A. Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check     Credit card     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)  
101 740 201 370Fee Code (\$)  
106 330 206 165Fee Code (\$)  
107 510 207 255Fee Code (\$)  
108 740 208 370Fee Code (\$)  
114 160 214 80Fee Paid  
740

SUBTOTAL (1) (\$ 740)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** =	X	
3	-3** =	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)  
103 18 203 9Fee Description  
Claims in excess of 20Fee Code (\$)  
102 84 202 42Fee Description  
Independent claims in excess of 3Fee Code (\$)  
104 280 204 140Fee Description  
Multiple dependent claim, if not paidFee Code (\$)  
109 84 209 42Fee Description  
\*\* Reissue independent claims over original patentFee Code (\$)  
110 18 210 9Fee Description  
\*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	110
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	248 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 240)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Robin A. Sannes	Registration No. (Attorney/Agent)	45,070	Telephone	763-574-2456
Signature	<i>Robin A. Sannes</i>			Date	04/12/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.